#### **CVTHead: One-shot Controllable Head Avatar with Vertex-feature Transformer**

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### **Background: 3D Morphable Face Models (3DMM)**

- Parametric model:
  - explicit control of shape, expression, head pose, texture, etc by coefficients
  - no information on detailed regions such as hair



[1] Volker Blanz, et al. "A Morphable Model For The Synthesis Of 3D Faces." TOG, 1999 [2] Li, Tianye, et al. "Learning a model of facial shape and expression from 4D scans." TOG, 2017

### **Background: 3DMM-based face generation**

single-view image





videos









Shape 1 Shape 2 Shape 3 Shape 4 Shape 5

> Shape 6 Shape 7

Shape 9 Shape 10

Expression Expression Expression Expression

> Sepression Sepression

Expressio

Jaw Nack

[1] Li, Tianye, et al. "Learning a model of facial shape and expression from 4D scans." TOG, 2017

1E	2020		Female	~	
	=		_	-0.62	
		-0	_	0.58	
		-0	_	0.00	
		0		0.00	
		0	_	0.00	
		-0	_	0.00	
		-0	_	0.00	
		-0	_	0.00	
		-0	_	0.00	
		-0		0.00	
11		-0-		0.15	
12		-0		-0.07	
13		0		-0.02	
1.4		-0-	_	0.15	
15		-0		0.00	
16		0	_	-0.13	
17		-0	_	0.73	
1.8				0.80	
19		-0	_	0.00	
110			)	1.02	
				7.33	
		-0		0.00	



Explicit control with 3DMM coefficients

Neural Networks













generation of realistic face of novel expressions, head poses, face shapes, etc



#### **CVTHead: Framework**

(1) head mesh reconstruction; (2) vertex feature transformer; (3) neural point rendering



# Efficient and controllable head avatar generation from a single image with point-based neural rendering

#### **CVTHead: Head mesh reconstruction**



[1] Li, Tianye, et al. "Learning a model of facial shape and expression from 4D scans." *TOG, 2017*[2] Feng, Yao, et al. "Learning an animatable detailed 3D face model from in-the-wild images." TO*G, 2021*[3] Khakhulin, Taras, et al. "Realistic one-shot mesh-based head avatars." *ECCV*, 2022

- FLAME [1] Parametric head model:
  - $M(\beta, \phi, \theta)$
  - face shape  $\beta$ , expression  $\phi$ , head pose  $\theta$
- pre-trained DECA [2] and hair deformation model
  [3] (optional) to obtain mesh vertices:

 $\mathbf{V}^{\mathbf{s}} = M(\beta^{s}, \phi^{s}, \theta^{s}) + f_{H}(\mathbf{I}^{\mathbf{s}}) \in \mathbb{R}^{N \times 3}$ 

 $\mathbf{V^d} = M(\beta^s, \phi^d, \theta^d) + f_H(\mathbf{I^s}) \in \mathbb{R}^{N \times 3}$ 

## **CVTHead: Vertex feature transformer**

---- Obtain feature vector of each vertex in the canonical space from source image



3D point  $\mathbf{k}^{s} \in \mathbf{V}^{\mathbf{s}}$ 2D projection  $(u^s, v^s, d^s) = \Pi(\mathbf{k}^s, c_s)$ 

Limitations of pixel-aligned features [1]: • require accurate 3D mesh to locate 2D pixels • misleading feature for occluded 2D projections

Vertex feature as learnable token  $\mathbf{X}_{\mathbf{v}} \in \mathbb{R}^{N \times C'}$ 2D projection as positional encoding  $(u^s, v^s, d^s) \rightarrow \mathbf{E}_{uv}^s, \mathbf{E}_{dep}^s$ transformer inputs: vertex token & image token

• solve the limitation of pixel-aligned features long-range correspondence among all vertex features

[1] Saito, Shunsuke, et al. "Pifu: Pixel-aligned implicit function for high-resolution clothed human digitization." *ICCV*. 2019.

### **CVTHead: Neural vertex rendering**



Vertex feature



- vertex projection features  $\mathbf{P}_{\mathbf{F}}^{\mathbf{d}} \in \mathbb{R}^{H \times W \times C}$  $\mathbf{P}_{\mathbf{F}}^{\mathbf{d}}[[u^d], [v^d]] = \mathbf{v}_{\mathbf{F}}$
- generate synthetic image  $\mathbf{I}^{\mathbf{d}}$  and binary foreground mask  $\mathbf{M}^{\mathbf{d}}$  with a U-Net  $\mathscr{G}(\cdot)$  $(\hat{\mathbf{I}^d}, \hat{\mathbf{M}^d}) = \mathscr{G}([\mathbf{P}^d_{\mathbf{F}}, \mathbf{P}^d_{\mathbf{D}}])$
- get rid of tedious differentiable rendering

### **Benefits of CVTHead**

- One-shot

  - no fine-tuning or optimization for unseen subjects
- Efficiency
- Generalize well on diverse head poses
  - warpping-based methods only work well for a limited range of head pose

• a single reference image (v.s. multi-view or video inputs for NeRF-based methods)

• a single forward for rendering (v.s. hundreds of forwards per ray for volumetric rendering)

#### **Results:** Face Reenactment

Comparable performance to state-of-the-art graphics-based methods Better efficiency

Dataset		Vo	oxCeleb1			Dataset	taset VoxCeleb1			
Method	L1↓	<b>PSNR</b> ↑	LPIPS $\downarrow$	MS-SSIM ↑		Method	$  FID \downarrow  $	$\mathbf{CSIM}\uparrow$	IQA $\uparrow$	$\text{FPS} \uparrow$
FOMM [49]	0.048	22.43	0.139	0.836		FOMM [49]	39.69	0.592	37.00	64.3
Bi-Layer [70]	0.050	21.48	0.108	0.839		Bi-Layer [70]	43.8	0.697	41.4	20.1
ROME [31]	0.048	21.13	0.116	0.838		ROME [31]	29.23	0.717	39.11	12.9
Ours	0.041	22.09	0.111	0.840		Ours	25.78	0.675	42.26	24.3
Dataset	VoxCeleb2				Dataset	VoxCeleb2				
Method	L1↓	<b>PSNR</b> ↑	LPIPS $\downarrow$	MS-SSIM $\uparrow$		Method	$ $ FID $\downarrow$ $ $	$\mathbf{CSIM}\uparrow$	IQA ↑	$\text{FPS} \uparrow$
FOMM [49]	0.059	20.93	0.165	0.793		FOMM [49]	61.28	0.624	36.20	64.3
ROME [31]	0.050	20.75	0.117	0.834		ROME [31]	53.52	0.729	37.34	12.9
Ours	0.042	21.37	0.119	0.841		Ours	48.48	0.712	40.27	24.3

Table 1. Results of self-reenactment on the VoxCeleb1 and Vox-Celeb2 ( $\uparrow$  means larger is better,  $\downarrow$  means smaller is better.)

Table 2. Results of cross-identity reenactment.

#### **Results: Face Reenactment**



































Ours

#### self-reenactment













#### cross-identity reenactment

#### **Results: 3DMM-based Face Animation**



Novel View

face animation with novel views, novel face shapes, and novel expressions

Novel Face Shape (Identity)

Novel Expression

#### **Ablation Study: Comparisons with pixel-aligned features**

#### Source Image

Driving Image Pixel-aligned







Method	L1↓	$\mathbf{PSNR}\uparrow$	LPIPS $\downarrow$	MS-SSIM $\uparrow$
Pixel-aligned features	0.045	21.81	0.107	0.841
CVTHead	0.041	22.09	0.111	0.840

CVTHead



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#### Thanks!